



Neuroendocrine Tumors of the Breast: Single-Center Experience

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ABSTRACT

Objective: Breast carcinomas with neuroendocrine (NE) differentiation are extremely rare. The aim was to discuss breast cancer cases with NE differentiation in the light of World Health Organization 2019 classification and literature information.

Material and Methods: The pathology records of 56 cases diagnosed as neuroendocrine tumor (NET) and/or breast cancers with NE differentiation presenting to a single center between January 2010 and June 2020 were evaluated. The patients were evaluated in terms of age, tumor size, location, histological grade, hormone profiles (ER, PR, HER2), guideline American Joint Committee on Cancer, lymph node status, stage, metastases, progression, survival, radiological features, surgery type and therapy modality.

Results: The age of the patients ranged from 34 to 81 years. Average tumor size was 2.3 cm. Median (range) follow up time was 31.5 (1–73 month). Metastatic lymph nodes were found in 20 cases. In our series, NE differentiation mostly accompanied invasive carcinoma of no special type, less frequently solid papillary carcinoma, and mucinous carcinoma.

Four patients had a history of neoadjuvant chemotherapy. Response to treatment was very poor in all four cases. Synaptophysin and chromogranin were positive in 38 cases. No correlation was found among tumor size, grade, age, lymph node status, and presence of distant metastasis in our series.

Conclusion: Clinical features and morphology may not help to distinguish NET from other subtypes of breast cancer. Therefore, the morphologic findings of a nested or trabecular architecture, nuclear or cytoplasmic features of NE differentiation, mucin production, or solid papillary growth pattern should prompt a pathologist to order NE markers.

Keywords: Neuroendocrine, breast, solid papillary, mucinous

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Key Points

- NE markers should be added when morphologically suspected or in SPC and MC cases to determine the actual rate of NE tumors of the breast.
- As these tumors are rare; diagnosis requires exclusion of metastasis from an extra-mammary site.

Introduction

Primary breast carcinoma with neuroendocrine (NE) features is a rare subtype of breast cancer. NE differentiation in breast carcinomas was first described by Feyrter and Hartmann in 1963 (1-5). In 1977, Cubilla and Woodruff (6) published the first case series and coined the term “primary carcinoid of the breast” (1-3, 6). Sapino et al. (7) in 2001 proposed the first diagnostic criteria for neuroendocrine tumors (NETs) of the breast, suggesting that tumors with more than 50% of the expression of NE markers, specifically synaptophysin (SNP) and chromogranin, should be classified as primary NE breast carcinomas (1, 7, 8). In 2003, the World Health Organization (WHO) divided neuroendocrine carcinomas (NECs) into solid, small cell, and large-cell NECs (1, 2, 9). The term “NEC of the breast” was revised to “carcinomas with NE features” in the 2012 WHO Classification of Tumours of the Breast (10). In 2012, the WHO classification was revised, and minimum percentage of cells exhibiting positive immunostaining for NE markers was removed (2-4, 10). Carcinomas with NE features are subclassified into three groups: well-differentiated NET, poorly differentiated NEC/small-cell carcinoma, and invasive breast carcinoma with NE differentiation (1, 2, 10).

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According to the 2019 WHO classification, most NE neoplasms of the breast presumably represent mixed NETs, with most cases showing a component of classic-type mammary carcinoma. Similarly, the majority of primary small-cell NEC (SCNEC) of the breast show a component of classic-type mammary carcinoma. Therefore, if SCNEC makes up 10%–90% of the tumour area, the terminology of mixed invasive carcinoma (NST or other special type) and SCNEC may be used, and the NEC percentage should be reported. Cancers with <10% NET pattern should be classified as invasive carcinoma-non-specific type (IC-NST) or other types, with an option to describe the focal specialized NE pattern in the report comment. Cancers with >90% NE neoplasm pattern should be classified as NET or NEC (11).

NETs in other sites, such as the lungs and gastrointestinal tract, could easily be recognised by their classical growth patterns (solid, alveolar, ribbons, cords, nested and rosette formation) and cytonuclear features (salt and pepper chromatin distribution) (2). NET/well-differentiated subgroup and the poorly differentiated/small-cell carcinoma are easy to distinguish because they exhibit NE features. Invasive breast carcinoma with NE differentiation is usually overlooked because they lack the typical morphological features of NE tumors. Recognition of this group by pathologists would help determine the actual frequency of this tumor and its effect on prognosis.

As well as primary NETs of the breast, metastatic NE tumors have also been reported. Clinical and radiological examinations are essential to differentiate a primary invasive breast carcinoma with NE features from a metastatic NE carcinoma. The presence of ductal carcinoma *in situ* (DCIS) components and extensive positive immunostaining for estrogen receptor/progesterone receptor (ER/PR) within the tumor suggest the primary origin to be the breast (4, 10, 12).

The most common form of NE breast tumor-solid papillary carcinoma (SPC) and mucinous carcinoma (MC) is a suitable example of diagnostic and conceptual challenges with NET (8, 13, 14). However, SPC is a distinctive clinico-pathological entity that often expresses NE markers.

The prognostic relevance of the NE differentiation of breast tumors is still debated. The present study aimed to evaluate breast carcinomas showing NE differentiation in terms of histopathological features, hormone receptor status, radiological features, and treatment modalities.

Material and Methods

The pathology archive of our hospital between January 2010 and June 2020 were evaluated and found cases diagnosed as NETs and/or breast cancers with NE differentiation were identified. Clinical follow-up was obtained from the electronic data system and record archive of our center. A 10-year electronic data search was performed with the laboratory information system using the keywords “breast” and “neuroendocrine tumor/NE differentiation” for diagnosis. In addition, MC cases without NE differentiation were compared with MC cases showing NE differentiation. SNP, chromogranin, ER, PR, human epidermal growth factor receptor 2 (HER2), and Ki-67 were studied in the cases with histopathological NETs. If NE differentiation areas were suspected in primary breast tumor, SNP and chromogranin were studied first. When both were negative, neuron-specific enolase (NSE) and CD56 were added. When one or two of them were found to be positive by 10% or more with immunohistochemistry, invasive breast carcinoma (mucinous, solid,

IC-NST, lobular), showing NE differentiation was diagnosed. NET or NEC was diagnosed when 90% or more positivity was observed to accompany histological features.

For each case; age, location, tumor size, histologic grade, the presence of associated DCIS, lymphovascular invasion, perineural invasion, microcalcification, nodal metastasis, hormone receptors, tumor type, follow-up duration and outcome (dead or alive, presence or absence of local recurrence or metastasis), and treatment modalities were also documented.

In accordance with the American Society of Clinical Oncology–College of American Pathologists (ASCO–CAP) guidelines, the tumor was defined as positive for ER and PR if positive nuclear staining was noted for $\geq 1\%$ of the invasive tumor cells (15). HER2 immunohistochemical expression was scored in accordance with ASCO–CAP guidelines (16): 0, no staining or weak-moderate incomplete staining in $\leq 10\%$ of cells; 1, weak and incomplete staining in $>10\%$ of cells; 2, weak-moderate staining in $>10\%$ of cells or strong staining in less than 10% of cells; and 3, strong complete membranous staining in 10% of cells. Cases suspicious for HER2 overexpression (Score 2) underwent further fluorescence *in situ* hybridization (FISH) analysis. When the ratio of *Cerb2*/chromosome 17 was < 2 and ≥ 2 , it was accepted as negative and positive for gene amplification, respectively.

Statistical analysis was performed using the SPSS software, version 17.0 (IBM, Inc., Chicago, IL, USA). The normality of each continuous variable was checked by Shapiro-Wilk tests and by histograms. All numerical data were expressed as median values (minimum-maximum) or as proportions. The Kaplan-Meier method was used for the survival analysis.

Ethics committee approval was obtained from Başkent University Medicine and Health Sciences Research Board (decision no: KA21/399, date: 08.10.2021).

Written consent was not obtained from the patients since the study was designed retrospectively and needed no consent.

Results

Results showed that 59 patients had undergone biopsy, including 56 primary breast NETs. Three of the 59 tumor cases were excluded because of metastases to the breast. Thus, 56 patients were included in the study (Table 1). Microcalcifications were observed in nine (16.1%) of the cases. SNP (Figure 1) was positive in 50 (89.3%), and negative in six (10.7%) cases, whereas chromogranin (Figure 2) showed positive staining in 41 (73.2%), and negative staining in 17 (30.4%) cases. SNP and chromogranin were both positive in 38 (67.9%) cases. NSE was positive in eight (14.3%) cases. The mean Ki-67 proliferation index was 14.9% (range: 2–70). Regarding the molecular subtypes of NET, 34 (78.6%) were ER +/Her2- (Luminal A), and 12 (21.4%) were ER+/HER2+/- and Ki67 >14% (luminal B).

The mean age at diagnosis was 57.2 years, with a median of 60 years (34–81). Fifteen cases were premenopausal (age <50, 26.8%), and 41 cases were postmenopausal (age >50, 73.2%). Average tumor size was 2.3 cm (0.3–7 cm). In addition, 26 (46.4%) of the cases were located in the right breast and 30 (53.6%) were in the left breast. Multifocality was noted in six of the 56 cases (10.7%). The patients mostly presented because of a complaint of a palpable mass. In addition, 53 (96.4%) of the cases were women and 3 (5.4%) were men. Of the 56 cases, two

(3.6%) were dead, 54 (96.4%) were alive. Bilateral breast carcinoma was present in three of the cases. Moreover, 10 (17.9%) patients had a family history of breast cancer. Median follow-up time was 31.5 (1–73) months. The estimated mean life expectancy of all patients was 41±18.9 months.

Twenty-one patients underwent mastectomy with sentinel lymph node biopsy (SLNB), 31 patients underwent breast conserving surgery with SLNB. Two cases were those evaluated with consultation blocks. Another two cases were diagnosed with core biopsies. Metastatic lymph nodes were observed in 20 (38.5%) of 52 cases with lymph node sampling, whereas lymph nodes were reactive in the remaining 32 cases. In terms of N staging, 32 cases were pN0 (57.2%), 15 cases were pN1 (26.8%), one case was pN2 (1.8%), four cases pN3 (7.1%) and four cases pNx. The pNx stage consisted of two consultation cases, and the two patients were diagnosed with core biopsy.

In accordance with the Modified Bloom and Richardson score, five cases were Grade 1 (8.9%), 23 cases Grade 2 (41.1%), and 28 cases Grade 3 (50%). When evaluated in terms of pT: one (1.8%) case was pT *in situ*, 21 (37.5 %) cases pT1, 26 (46.4%) cases pT2, three (5.4%) cases pT3, one (1.8%) case pT4 and four cases (7.1%) pTx. The pTx stage consisted of two consultation cases, and two patients were diagnosed with core biopsy. Our archive records contained 81 MC cases (33 pure MCs and 48 MCs with mixed carcinomas) without NE differentiation. We did not find any significant difference between these two groups in terms of pT (p=0.081), pN (p=0.118), DCIS (p=0.719), grade (p=0.595), hormone receptor positivity (p=0.414), age (p=0.022), follow-up time (p=0.043) and Ki-67 score (p=0.417).

Radiotherapy (RT) only was performed in seven (12.5%) patients and chemotherapy (CT) only was also performed in seven patients. CT and RT were performed in 28 (50%) patients. Eight (7.1%) patients received hormone therapy alone. Tamoxifen was added in the treatment of ER positive patients, and Trastuzumab in HER2 positive patients. Of the 56 patients, six (10.7%) were lost to follow-up, and the follow-up period for the remaining 50 patients ranged from 1 to 73 months (31.5). Among these 56 patients, one patient

died of the disease after 24 months. The other case who was dead was a patient diagnosed by core biopsy and was not followed up. Clinico-pathological characteristics of 56 patients are summarized in Table 2.

Four (7.1%) patients had a history of neoadjuvant CT. Two of these were IC-NST with NE differentiation, and two were invasive MC with NE differentiation. Response to treatment was very poor in all four cases. Four of the patients had a second primary carcinoma accompanying breast carcinoma. Two of them were non-Hodgkin's lymphoma, one was oncocytoma and one was endometrium carcinoma.

Discussion and Conclusion

Primary NE carcinoma of the breast includes a heterogeneous group of tumors with different biological behavior and prognosis (3). The incidence has been reported to range from <1%–5% of breast cancers. In contrast, some authors reported NE differentiation in up to 20% of breast carcinomas (3). However, the exact incidence of this disease is difficult to assess because immunohistochemical NE markers are not routinely used in breast tumors (3, 10).

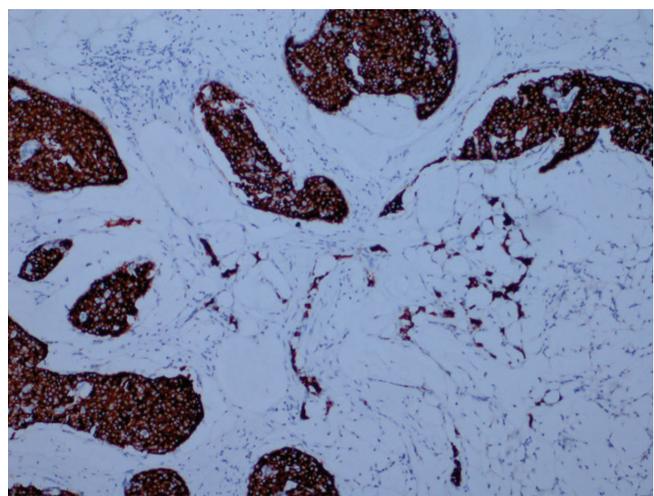


Figure 1. Immunohistochemically, SNP positivity in tumor cells (IHK ×200)

SNP: Synaptophysin; IHK: Immunohistochemistry

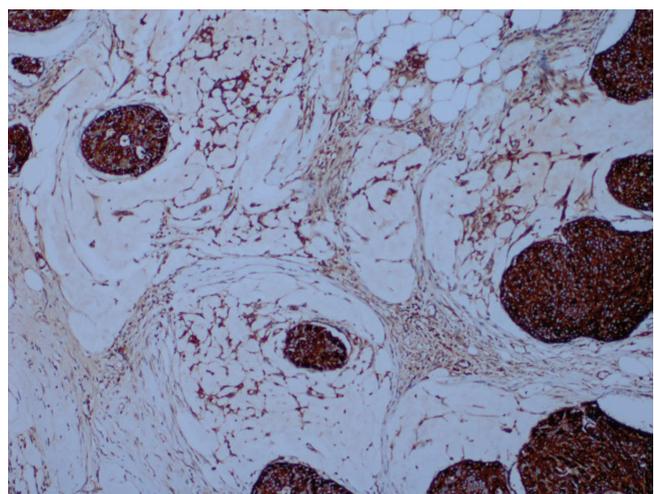


Figure 2. Immunohistochemically, chromogranin positivity in tumor cells (IHK ×200)

IHK: Immunohistochemistry

Table 1. Original diagnosis of 56 cases showing neuroendocrine features

Diagnosis and number of cases with NE differentiation n (%)

IC-NST	32 (57.1%)
Solid papillary carcinoma with invasion	13 (23.2%)
With invasive mucinous carcinoma	5
With IC-NST	5
Mixed IC-NST + mucinous carcinoma	3
Mucinous carcinoma	5 (8.9%)
Solid papillary carcinoma	2 (3.6%)
Mixed IC-NST + mucinous carcinoma	2 (3.6%)
Mixed IC-NST + lobular carcinoma	1 (1.8%)
IC-NST + Poorly differentiation NET	1 (1.8%)
Totally	56 (100%)

NE: Neuroendocrine; IC-NST: Invasive carcinoma-carcinoma of no special type; n: Number

Table 2. Clinico-pathological characteristics of 56 patients

	n	(%)
Age group		
Mean age	57.2	-
Median (range) age	60 (34-81)	-
Age <50	15	26.8
Age >50	41	73.2
Tumor location		
Right	26	46.4
Left	30	53.6
DCIS		
Present	41	73.2
Absent	15	26.8
Estrogen receptor (ER)		
Positive	56	100
Negative	0	0
Progesterone receptor (PR)		
Positive	48	85.7
Negative	8	14.3
HER2/neu		
Positive	8	14.3
Negative	48	85.7
Tumor size		
Mean size	2.3 cm (0.3–7)	-
Median size	1.8 cm	-
Histologic grade		
G1	5	8.9
G2	23	41.1
G3	28	50
PN		
pN0	32	57.2
pN1	15	26.8
pN2	1	1.8
pN3	4	7.1
pNx	4	7.1
LN		
Present	5	8.9
Absent	51	91.1

Table 2. Continued

	n	(%)
pT		
pT <i>in situ</i>	1	1.8
pT1	21	37.5
pT2	26	46.4
pT3	3	5.4
pT4	1	1.8
Unknown	4	7.1
Metastasis		
Bone	2	3.6
Liver	1	1.8
No metastasis	53	94.6
LVI		
Present	39	69.6
Absent	17	30.4
PNI		
Present	21	37.5
Absent	35	62.5
Surgery		
M and SLND	21	37.5
SM and SLND	31	55.4
Unknown	4	7.1
Systemic therapy		
CT + RT	28	50
CT	7	12.5
RT	7	12.5
TMX	8	14.3
Unknown	6	10.7
Final status		
Alive	54	96.4
Dead	2	3.6

SLND: Sentinel lymph node dissection; M: Mastectomy; SM: Segmented mastectomy; CT: Chemotherapy; RT: Radiotherapy; pT: Pathologic tumor stage; pN: Pathologic nodal stage; DCIS: Ductal carcinoma *in situ*; IC-NST: Invasive carcinoma-carcinoma of no special type; TMX: Tamoxifen; LVI: Lymphovascular invasion; PNI: Perineural invasion; LN: Lobular neoplasia; n: Number

NETs of the breast occur predominantly in postmenopausal women during the sixth to seventh decade of life, although rare cases have been reported in males (1, 3). In this study, most of the cases (73.6%) were in the postmenopausal period, with a median (range) age 60 (34–81) years. This situation is similar to the literature. Three of our cases were male. The tumor size of NETs of the breast ranges from 0.8 to 13.5 cm with a mean of 2.7 cm (1, 17). Similarly, average tumor size was 2.3 cm (0.3–7 cm) in our series. Tumors may be grossly infiltrative or expansile, and those with mucin production are soft and gelatinous (1, 10). Microcalcification was identified in a small number of cases in our series (n=9, 16%) which is consistent with that reported in the literature (10% and 25%).

Two main theories exist on the histogenesis of primary NETs of the breast. The first theory is that these tumors evolve from neoplastic transformation of native NE cells. The second and more accepted theory is that NE differentiation arises from divergent differentiation of neoplastic stem cells into epithelial and endocrine cell lines during early carcinogenesis. This theory is supported by the lack of benign NETs of the breast and evidence that NE cells are clonally related to malignant epithelial cells (1, 3).

NE differentiation is frequently found in MC, particularly the hypercellular variant, and SPC (1). However, the expression of NE markers is not unique to MC of the breast (18). This phenomenon has been described in other breast carcinomas, including infiltrating lobular carcinoma, IC-NST (18). Invasive lobular carcinoma, particularly the alveolar variant, can also demonstrate NE differentiation (19). In our series, mostly IC-NST, less frequently SPC and MC were observed. These histopathological subtypes with similar frequencies were reported in previous studies (2). In our series, NE differentiation areas were found in 44 IC-NST carcinoma cases. Meanwhile 32 of these cases were pure IC-NST, and 11 had mixed breast carcinoma (five cases SPC + IC-NST, two cases MC + IC-NST, one case invasive lobular carcinoma + IC-NST, one case SPC + MC + IC –NST (Figure 3), and 1 case IC-NST + poorly differentiation / small-cell carcinoma NET).

MC is histologically characterized by nests of tumor cells floating in mucin lakes with fine fibrovascular septae (10). NE differentiation

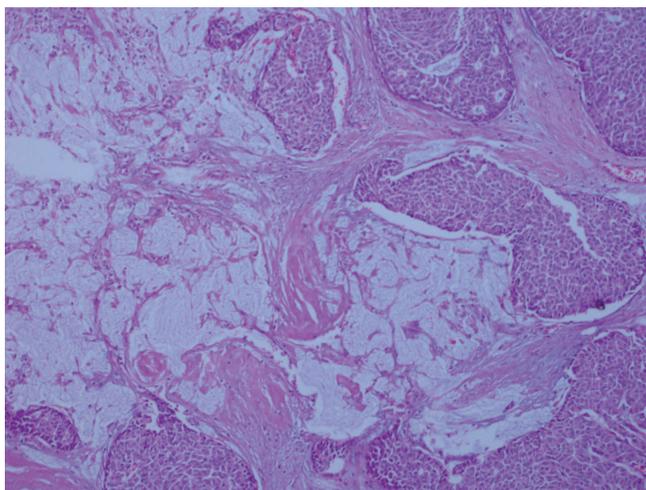


Figure 3. Mucinous carcinoma developed on the basis of solid papillary carcinoma, showing neuroendocrine differentiation (H&E ×200)

H&E: Hematoxylin and eosin stain

is more frequently observed with the hypercellular variant of MC, characterized by large clusters of tumor cells (1). In our series, NE differentiation was observed in 15 cases with MC. The significance of NE differentiation in MC has been controversial. Some authors reported a difference in the age and prognosis of patients, whereas others found no such difference (18). Our archive records contained 81 MC cases without NE differentiation. No significant difference was found between these MC cases and the NET/NE differentiation group in terms of classification of pT and pN rate of DCIS, grade, hormone receptor positivity, age, follow-up time and Ki-67 score.

SPC is a rare form of breast carcinoma composed of large circumscribed nests of small monotonous polygonal to spindled cells, fine fibrovascular cores, and a round to elongated nucleus, plus finely granular eosinophilic cytoplasm (1, 13, 14). NE differentiation is present in up to 50% of cases. Our archive contained 17 cases of SPC, 15 of which had NE differentiation areas. Two of the SPC cases with NE differentiation in our series were pure SPC, and 13 cases with invasive breast carcinoma (five cases MC, five cases IC-NST, three cases IC-NST + MC) developed on an SPC background. SPC usually arises in the seventh or eighth decade and has a better prognosis than other breast cancers (13, 14). Concordantly, the mean age of patients with SPC in our series was 62.

DCIS can also display NE differentiation, especially in solid-type DCIS. Endocrine DCIS is often of low nuclear grade, with eccentric nuclei and open chromatin (1, 20). In our series, 41 (73.2%) patients had DCIS, with the most frequent patterns being solid, cribriform, comedo, NE, and papillary. SNP was positive in 37 patients, and chromogranin was positive in 27 patients.

Although morphological features may suggest NE differentiation, the diagnosis of NET requires expression of NE markers. The most sensitive and specific immunohistochemical markers are SNP and chromogranin A (1, 20). NSE and CD56 may show positivity but are less sensitive and specific (1). Ki-67 is a prognostic indicator of NETs (21). In our series, SNP was positive in 50 cases and negative in six cases, whereas chromogranin was positive in 41 cases and negative in 17 cases. SNP and chromogranin were positive in 38 cases. NSE was positive in eight cases.

The series reported in the literature were mostly of the ER+/Her2 - luminal A molecular subtype. (1-3, 8). Studies have shown that NETs are more likely to be ER and PR positive than IC-NST (1). Wei et al. (22) demonstrated that 95% of NETs are ER positive, 80% are PR positive and 91% are HER2 negative. In our series, all cases were ER positive, and 85% were PR positive while 14% HER2 amplified. Regarding the molecular subtypes of NETs, more than three quarters were ER +/Her2 - (Luminal A), and while a fifth were ER+/HER2+/- and Ki-67 >14% (luminal B). Six of the Her2 positive cases were IC-NST, one was IC-NST + invasive lobular carcinoma, and the other was invasive MC.

The differential diagnosis of NET of the breast is broad and includes benign and malignant entities. The most important differential diagnosis is metastatic NET from an extramammary site, as well as lymphoma and malignant melanoma (1). Metastatic NETs account for 1%–2% of metastases to the breast. Few cases of metastatic NE carcinoma to breast were noted in the review of literature. The majority of these were from the small intestine and the pancreas (23). The distinction of primary from metastatic NET is critical to avoid

misdiagnosis and unnecessary surgical and medical therapy in the latter (1). Approximately 68% of primary NETs are associated with DCIS, which is the most convincing evidence of a primary breast tumor (1, 2). A panel of immunohistochemical stains can prove useful in distinguishing these two entities. As both primary and metastatic tumors show NE differentiation, neither NE markers nor ER and PR, which can also show positivity in metastasis, are useful in distinguishing the diagnosis (24). The most specific markers for a breast primary tumor are GATA3, mammaglobin, and GCDFP15, for which secondary tumors are consistently negative (2, 24). TTF1 shows positivity in approximately 70% of metastases from the lung and CDX2 shows positivity in 100% of metastases from the gastrointestinal tract (2, 24). TTF1 may be strongly positive in poorly differentiated NETs of the breast (1). Therefore, especially when ruling out lung NET metastasis, attention should be paid to hormone receptors in breast tumors, GATA3 and GCDFP15 positivity, and the presence of DCIS. Moreover, obtaining detailed past medical history of patients is important because those with known history of carcinoid tumors may present with metastatic lesions many years after their initial diagnosis.

A specific guideline for the grading, staging, or treatment of primary NETs of the breast is lacking (10). Similar to conventional breast cancers, NETs of the breast must be staged and treated (22). Surgical management is based on tumor location and stage as with conventional breast cancers (22). Well-differentiated NET and invasive breast carcinoma with NE differentiation receive cytotoxic therapy similar to conventional breast cancer, and those with poorly differentiated NETs receive cytotoxic therapy with protocols similar to that of pulmonary small-cell carcinoma. The use of hormone therapy should be based on receptor status.

Tumor size and nodal metastases are the main prognostic factors for evaluating risk of relapse for NET of the breast, as for other types of breast cancers (3). NET of the breast can metastasize to multiple sites several years after the treatment for primary tumor. Therefore, a long-term follow-up is advisable. Metastatic sites include liver, bones, lungs, pancreas and brain (3). In our series, two cases had metastasized to the bone and one case to the liver. Although no consensus has been reached on the clinical or prognostic significance of this entity, many large studies that used updated criteria suggest poor prognosis. In our series, no statistically significant relation was observed in terms of tumor size, nodal metastasis, grade, survival, age, and prognostic terms.

Breast carcinoma with NE differentiation is a heterogeneous disease composed of many different subtypes with varying clinical characteristics. As these tumors are rare, diagnosis requires exclusion of metastasis from an extra-mammary site. Clinical features and morphology may not be helpful to distinguish NET from other subtypes of breast cancer. Therefore, the morphologic findings of a nested or trabecular architecture, nuclear or cytoplasmic features of NE differentiation, mucin production, or a solid papillary growth pattern should prompt a pathologist to order markers specific for NET and chromogranin. Similar regimens to conventional breast carcinoma are used in terms of treatment; but neoadjuvant CT response was poor in the small number of cases in our series. However, larger series are needed to predict the need for different treatment protocols or to decide on prognosis. As NE markers are not used routinely, the exact frequency of this tumor type remains unknown. Therefore, NE markers should be added when

morphologically suspected, or in SPC and MC cases to determine the true rate of NE tumors of the breast.

Ethics Committee Approval: This study was approved by Başkent University Medicine and Health Sciences Research Board (decision no: KA21/399, date: 08.10.2021).

Informed Consent: Retrospective archive research.

Peer-review: Externally peer-reviewed.

Authorship Contributions

Conception: B.H., F.A.B.; Design: B.H.; Supervision: H.Ö.A., F.A.B.; Materials: B.H., H.Ö.A., F.A.B.; Analysis and/or Interpretation: B.H., F.A.B.; Writing: B.H., H.Ö.A.

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